



SYNTHESIS AND CHARACTERIZATION OF γ -LACTAMS THROUGH IMINE INTERMEDIATES

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This study is concerned with the synthesis and characterization of γ -lactams (**3a-3h**). γ -Lactams were prepared by reacting phenylsuccinic anhydride with the appropriate Schiff bases (imines) by heating at 51-61 °C in chloroform with moderate yields (51-75 %). The structures of these compounds were established on the basis of the spectral studies using IR, ¹H-NMR, ¹³C-NMR, ¹³C- NMR DEPT and MS.

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Introduction

2-Oxopyrrolidine γ -lactam is five-membered ring lactams (Figure 1). γ -Lactams exist in many natural products and biologically active compounds and are one of the most important classes of compounds for drug discovery.¹⁻³ Substituted γ -lactams, in particular, have potential application in drug synthesis, but the development of stereoselective synthesis of chiral γ -lactams remains a challenge.^{4,5} Developing effective and simple synthetic methods is important so that the drug candidates can be screened.

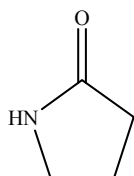


Figure 1. γ -Lactam ring

Stereoselective addition to a γ -lactam skeleton provides a direct and efficient method for synthesizing various γ -lactam derivatives. However, the most commonly used methods for synthesizing chiral γ -lactams are based on the cyclization or cycloaddition of N-containing precursors, which are synthesized stereoselectively, and there are limited studies on the stereoselective additions to γ -lactam skeletons.⁶⁻⁸

Experimental

The ¹H-NMR spectra were recorded using VARIAN spectrophotometer (500 MHz), the ¹³C-NMR spectra were recorded using VARIAN spectrophotometer (75 MHz). The chemical shift values are expressed in δ (ppm), using tetramethylsilane (TMS) as internal standard and DMSO-*d*₆ as solvent. The mass spectra were recorded at 3 kV.

Preparation of mono-imines (2a-2d)

In general, the mono-imines (**2a-2d**) are prepared by the reaction of the mixture of 0.01 mole amine with 0.01 mol aldehyde in 25 mL of methanol or ethanol and 4-6 drops of glacial acetic acid, the reaction mixture is refluxed with stirring for 0.5-9 h, the progress of the reaction is followed by TLC using hexane : ethyl acetate (6:4) as eluent. After completion, the solvent evaporates and then recrystallizes from a suitable solvent.⁹⁻¹¹

(E)-3-((4-Bromophenyl)imino)indolin-2-one (2a)

The compound was prepared by reacting 1.169 g (0.01 mol) of 4-bromoaniline and 1 g (0.01 mol) of indoline-2,3-dione. Yield 75 %, m.p. 273-275 °C, colour orange, IR (KBr disk) 1608 cm⁻¹ (C=N).

(E)-2-(((4-Bromophenyl)imino)methyl)-6-ethoxyphenol (2b)

The compound was prepared by reacting 1.036 g (0.01 mol) of 4-bromoaniline and 1.169 g (0.01 mol) of 3-ethoxy-2-hydroxybenzaldehyde. Yield 79 %, m.p. 89-90 °C, colour orange, IR (KBr disk) 1681 cm⁻¹ (C=N).

(E)-4-Bromo-2-(((4-nitrophenyl)imino)methyl)phenol (2c)

The compound was prepared by reacting 0.68g (0.01 mol) of 4-nitroaniline and 1 g (0.01 mol) of 5-bromo-2-hydroxybenzaldehyde. Yield 69 %, m.p. 178-180 °C, colour yellowish, IR (KBr disk) 1618 cm⁻¹ (C=N).

(E)-4-Bromo-2-((naphthalen-1-ylimino) methyl)phenol (2d)

The compound was prepared by reacting 0.71g (0.01 mol) of naphthalen-1-amine and 1 g (0.01 mol) of 5-bromo-2-hydroxybenzaldehyde. Yield 87.5 %, m.p. 105-106 °C, colour maronite, IR (KBr disk) 1620 cm⁻¹ (C=N).

Preparation of bis-imines (2e-2g)

In general, the bis-imines (**2e-2g**) are prepared by the reaction of 0.01 mole diamine with 0.02 mole of aldehyde

in 25 mL of methanol or ethanol and 4-6 drops of glacial acetic acid, the reaction mixture is refluxed for 1-9 h, the progress of the reaction is followed by TLC using hexane : ethyl acetate 6:4 as eluent. After completion, the solvent was evaporated and the product was then recrystallized from a suitable solvent.

6,6'-((1E,1'E)-(Methylenebis(4,1-phenylene)) bis(azanylylidene)) bis(methanylylidene))bis(2-ethoxyphenol) (2e)

The compound was prepared by reacting 0.595 g (0.01 mol) of 4,4'-methylenedianiline with 1g (0.02 mol) of 3-ethoxy-2-hydroxybenzaldehyde. Yield 87.6 %, m.p. 157-158 °C, colour yellowish, IR (KBr disk) 1624 cm^{-1} (C=N).

6,6'-((1E,1'E)-(Naphthalene-1,5-diylbis(azanylylidene))-bis(methanylylidene))bis(2-ethoxyphenol) (2f)

The compound was prepared by reacting 0.452 g (0.01 mol) of 1,5-diaminonaphthalene with 0.95 g (0.02 mol) of 3-ethoxy-2-hydroxybenzaldehyde. Yield 96 %, m.p. 133-136 °C, colour chartreuse, IR (KBr disk) 1618 cm^{-1} (C=N).

(N1E,N5E)-N1,N5-Bis(4-chlorobenzylidene)naphthalen-e-1,5-diamine (2g)

The compound was prepared by reacting 0.562 g (0.01 mol) of 1,5-diaminonaphthalene with 1 g (0.02 mol) of 4-chlorobenzaldehyde. Yield 96.5 %, m.p. 190-192 °C, colour green, IR (KBr disk) 1621 cm^{-1} (C=N).

General procedure for the preparation of γ -lactams 3a-3g

Preparation of mono- γ -lactams 3a-3d.

In general, the mono- γ -lactams (**3a-3d**) were prepared by reacting a mixture of 0.01 mol of monoimine (**2a-2d**) with 0.01 mol of phenylsuccinic anhydride in 25 mL of chloroform and heating the mixture in water bath at 51-61 °C. The reaction mixture was then refluxed for 12-30 h with stirring. The progress of the reaction was followed by TLC. After completion, the solvent was evaporated, and the residue was recrystallized from a suitable solvent.¹²⁻¹³

1'-(4-Bromophenyl)-2,5'-dioxo-3'-phenylspiro[indoline-3, 2'-pyrrolidine]-3'-carboxylic acid (3a)

The compound was prepared by reacting 0.5 g (0.01 mol) of **2a** with 0.292 g (0.01 mol) of phenylsuccinic anhydride. Yield 51 %, m.p. 192-195 °C, colour orange. IR (KBr): 1650 cm^{-1} (HO-C=O), 1721 cm^{-1} (-N-C=O). ¹H-NMR (500 MHz, DMSO) δ = 3.3 (s, 2H, γ -Lactam ring.), 7.2-8.21 (m, 13H, Ar-H), 9.4 (s, 1H, -NHC=O), 11.3 (s, 1H, OHC=O). ¹³C-NMR (75 MHz, DMSO) δ = 48 (s, C₄H), 52(-C₂-), 58(s, C₃-H), 116-151 (m, C-Ar), 174 (s, CH₂-C=O), 175(s, HN-C=O), 179(s, HO-C=O).

1-(4-Bromophenyl)-2-(3-ethoxy-2-hydroxyphenyl)-5-oxo-3-phenylpyrrolidine-3-carboxylic acid (3b)

The compound was prepared by reacting 0.8 g (0.01 mol) of **2b** with 0.43 g (0.01 mol) of phenylsuccinic anhydride. Yield 74 %, m.p. 83-85 °C, colour orange. IR (KBr): 1656 cm^{-1} (HO-C=O), 1722 cm^{-1} (-N-C=O). ¹H-NMR (500 MHz, DMSO) δ = 3.6 (s, 2H, C₄-H), 3.8 (s, 2H, CH₂-O), 1.78 (3H, -CH₃), 7.72 - 8.28 (m, 12H, Ar-H), 4.02 (s, 1H, C₂-H), 10.8 (s, 1H, OHC=O), 9.6 (s, 1H, Ar-OH). ¹³C-NMR (75 MHz, DMSO) δ = 29 (s, -CH₃), 42 (s, -CH₂-), 44 (s, CH₂-O), 49 (s, -CH-), 59 (s, -COOH), 115-158 (m, C-Ar), 173 (s, CH₂-C=O), 180 (s, HO-C=O).

2-(5-Bromo-2-hydroxyphenyl)-1-(4-nitrophenyl)-5-oxo-3-phenylpyrrolidine-3-carboxylic acid (3c)

The compound was prepared by reacting 0.8 g (0.01 mol) of **2c** with 0.438 g (0.01 mol) of phenylsuccinic anhydride. Yield 65 %, m.p. 110-112 °C, colour yellow. IR (KBr): 1682 cm^{-1} (HO-C=O), 1725 cm^{-1} (-N-C=O). ¹H-NMR (500 MHz, DMSO) δ = 3.7 (s, 2H, C₄-H), 4.1 (s, 1H, C₂-H), 7.28-8.15 (m, 12H, Ar-H), 11.0 (s, 1H, OHC=O), 9.4 (s, 1H, Ar-OH). ¹³C-NMR (75 MHz, DMSO) δ = 44 (s, -CH₂-O), 46 (s, CH₂-), 52 (s, -CH-), 57 (s, COOH), 120-156 (m, C-Ar), 181 (s, HO-C=O), 178 (s, CH₂-C=O).

2-(5-Bromo-2-hydroxyphenyl)-1-(naphthalen-1-yl)-5-oxo-3-phenylpyrrolidine-3-carboxylic acid (3d)

The compound was prepared by reacting 0.6 g (0.01 mol) of **2d** with 0.323g (0.01 mol) of phenylsuccinic anhydride. Yield 69 %, m.p. 190-192 °C, colour brown. IR (KBr): 1690 cm^{-1} (HO-C=O), 1721 cm^{-1} (-N-C=O). ¹H-NMR (500 MHz, DMSO) δ = 3.7 (s, 2H, C₄-H), 4.3 (s, 1H, C₂-H), 7.20-8.52 (m, 15H, Ar-H), 10.9 (s, 1H, OHC=O), 9.6 (s, 1H, Ar-OH). ¹³C-NMR (75 MHz, DMSO) δ = 42 (s, -CH₂-O), 45 (s, -CH₂), 53(s, -CH-), 56 (s, -COOH), 112-154 (m, C-Ar), 175 (s, HO-C=O), 178(s, CH₂-C=O).

Preparation of bis- γ -lactams (3e-3g)

In general, the bis- γ -lactams (**3e-3g**) were prepared by reacting 0.01 mol bis-imines (**2e-2g**) with 0.02 mol of phenylsuccinic anhydride in 25 mL of chloroform under heating in water bath at 51-61 °C. The reaction mixture was refluxed for 12-16 h with stirring. The progress of the reaction was followed by TLC. After completion, the solvent was evaporated and the residue was recrystallized from a suitable solvent.

1,1'-(Methylenebis(4,1-phenylene))bis(2-(3-ethoxy-2-hydroxyphenyl)-5-oxo-3-phenylpyrrolidine-3-carboxylic acid) (3e)

The compound was prepared by reacting 0.5 g (0.01 mol) of **2e** with 0.385 g (0.02 mol) of phenylsuccinic anhydride. Yield 73 %, m.p. 172-173 °C, colour orange. IR (KBr): 1654 cm^{-1} (HO-C=O), 1733 cm^{-1} (-N-C=O).

$^1\text{H-NMR}$ (500 MHz, DMSO) δ = 1.78 (s, 6H, $-\text{CH}_3$), 3.6 (s, 4H, $\text{C}_4\text{-H}$), 3.85 (s, 2H, $-\text{CH}_2-$), 4.1 (s, $-\text{CH}_2-$), 7.2-8.58 (m, 24H, Ar-H), 9.4 (s, 2H, Ar-OH), 10.73 (s, 2H, OHC=O).¹³ C-NMR (75 MHz, DMSO) δ = 41 (d, $-\text{CH}_2\text{-O}$), 29 (d, $-\text{CH}_3$), 31 (s, Ar- $\text{CH}_2\text{-Ar}$), 42 (d, $-\text{CH}_2-$), 57 (d, $-\text{CH-}$), 55 (d, $-\text{COOH}$), 111-158 (m, C-Ar), 171 (d, $\text{CH}_2\text{-C=O}$), 173 (d, HN-C=O), 178 (d, HO-C=O).

1,1'-(Naphthalene-1,5-diyl)bis(2-(3-ethoxy-2-hydroxyphenyl)-5-oxo-3-phenylpyrrolidine-3-carboxylic acid) (3f)

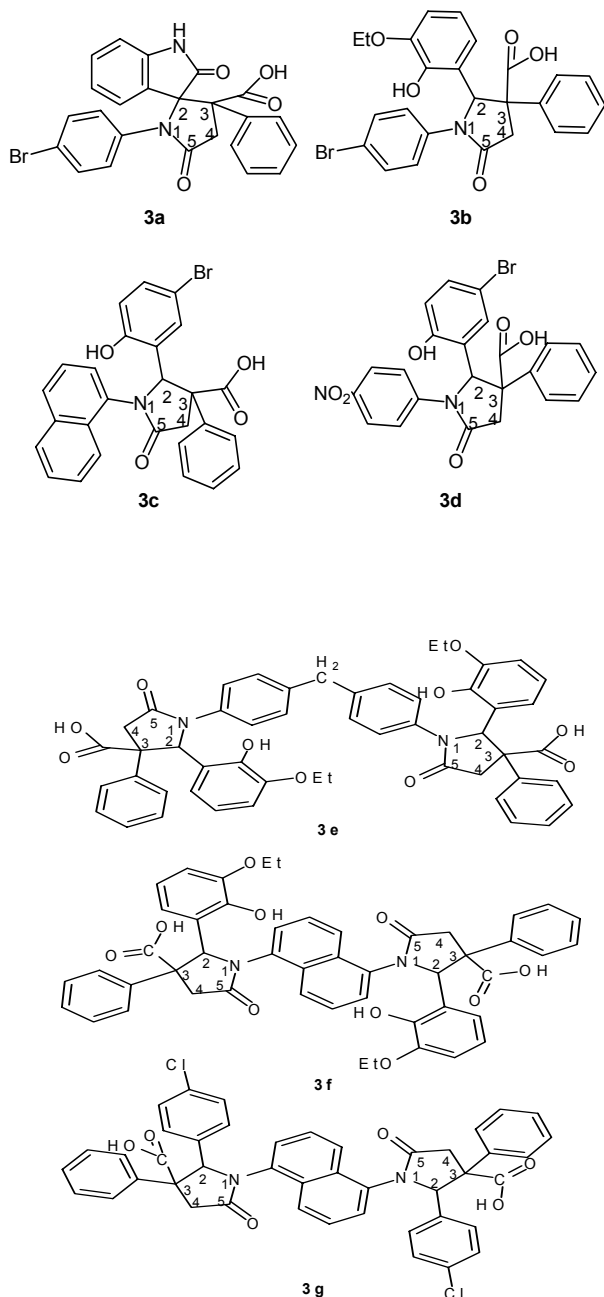


Figure 2. Structures of the compounds (3a-3g).

The compound was prepared by reacting 0.454 g (0.01mol) of **2f** with 0.352 g (0.02 mol) of phenylsuccinic anhydride. Yield 66 %, m.p. 146-147 °C, colour orange. IR (KBr): 1636 cm^{-1} (HO-C=O), 1732 cm^{-1} ($-\text{N-C=O}$). $^1\text{H-}$

NMR (500 MHz, DMSO) δ = 1.86 (d, 6H, $-\text{CH}_3$), 3.7 (d, 4H, $\text{CH}_2\text{-O}$), 4.05 (d, 4H, $\text{C}_4\text{-H}$), 4.7 (d, 2H, $-\text{C}_2\text{-H}$), 7.4-8.5 (m, 24H, Ar-H), 9.5 (d, 2H, $-\text{OH}$), 10.7 (d, 2H, OHC=O).

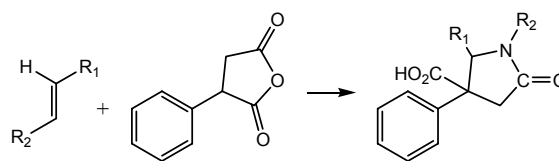
1,1'-(Naphthalene-1,5-diyl)bis(2-(4-chlorophenyl)-5-oxo-3-phenylpyrrolidine-3-carboxylic acid) (3g)

The compound was prepared by reacting 0.6 g (0.01 mol) of **2g** with 0.525 g (0.02 mol) of phenylsuccinic anhydride. Yield 75 %, m.p. 190-193 °C, colour greenish. IR (KBr): 1656 cm^{-1} (HO-C=O), 1706 cm^{-1} ($-\text{N-C=O}$). $^1\text{H-NMR}$ (500 MHz, DMSO) δ = 3.5 (d, 4H, $\text{C}_4\text{-H}$), 4.45 (d, 2H, $-\text{C}_2\text{-H}$), 7.6-8.3 (m, 24H, Ar-H), 11.0 (d, 2H, OHC=O).

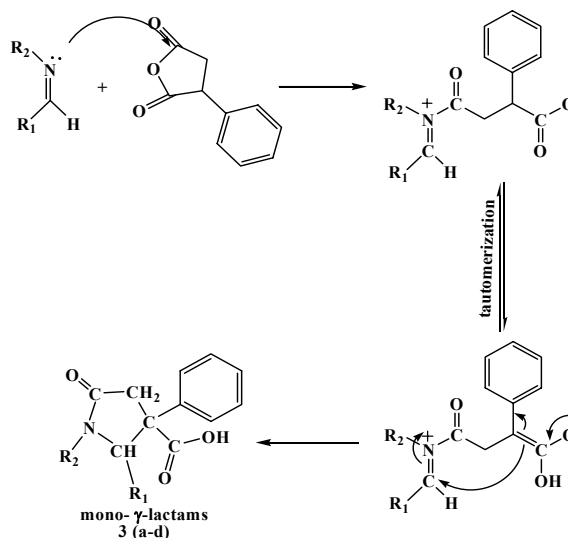
Results and discussion

The structural skeleton of chiral lactams are found in a broad range of natural and biologically active molecules, such as penicillins, cephalosporins, carbapenems, monobactams, salinosporamide A, rolipram and brivaracetam.

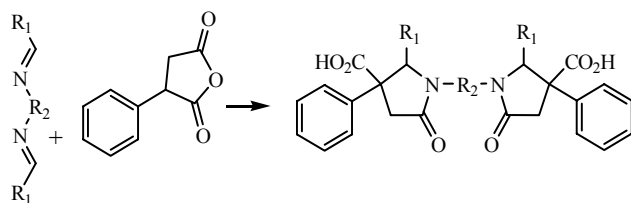
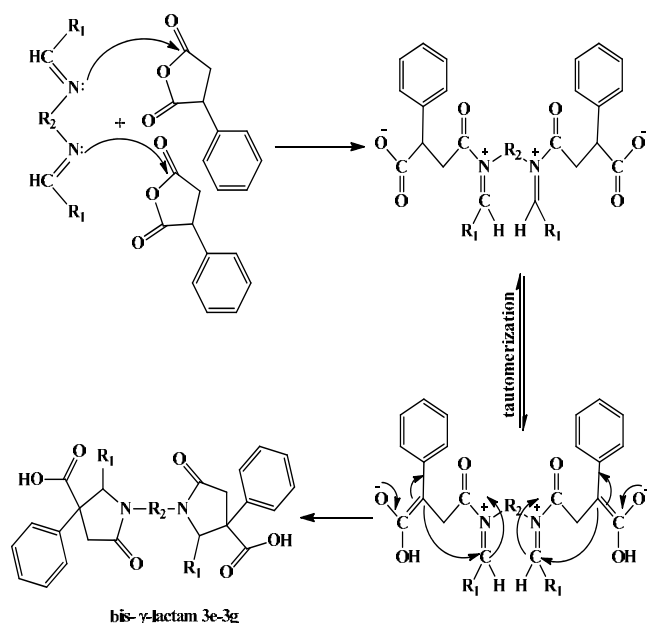
In the present investigation, γ -lactams are obtained by the reaction of imines with phenylsuccinic anhydride to in a suitable solvent (dioxane or chloroform). The structures of the compounds **3a-3g**, as established by spectral analysis, are given in Fig. 2. A probable mechanism for synthesis has been suggested (Schemes 1 - 4).



Scheme 1. Synthesis of mono γ -lactam



Scheme 2. Mechanism of formation of mono γ -lactam

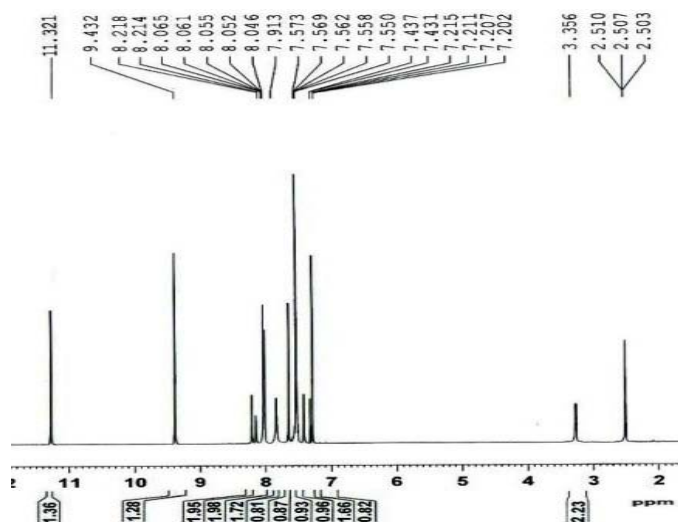

 Scheme 3. Synthesis of bis γ -lactam

 Scheme 4. Probable mechanism of the formation of bis- γ -lactams.

Analysis of infrared spectra

The IR spectra of mono and bis γ -lactams (**3a-3g**) are characterized by the seven bands corresponding to the stretching vibration of the aromatic C-H, aliphatic C-H, carbonyl carboxylic group, carbonyl amide group, aromatic C=C, C-N band and the substituted ring which occurs within the ranges 3126-3030, 2990- 2878, 1733-1706, 1699-1636, 1603-1568, 1340-1325, and 925-617 cm^{-1} respectively.

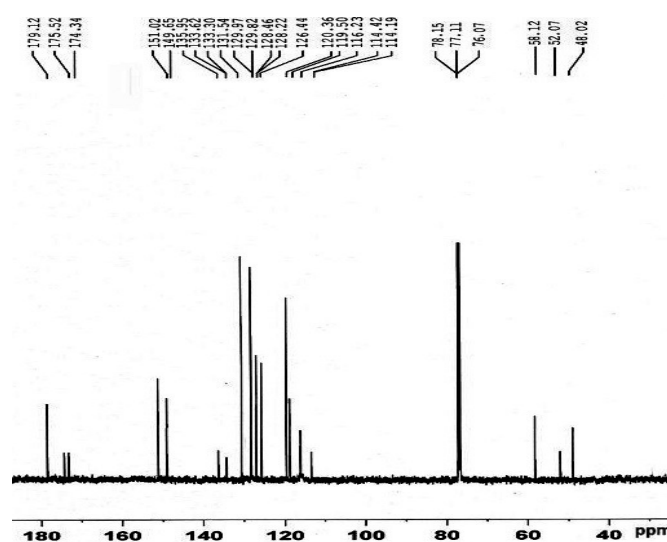
Analysis of ^1H -NMR spectra

The ^1H -NMR spectra (Figure 3) of **3a** showed γ -lactam ring singlet signal at $\delta = 3.3$ ppm for methylene group (CH_2), multiplet signal at $\delta = 7.2 - 8.21$ ppm for aromatic protons (m, 13H, Ar-H), singlet signal for one proton in γ -lactam ring at $\delta = 9.4$ ppm for NH, and finally showed singlet signal for proton carboxylic acid at $\delta = 11.3$ ppm.


 Figure 3. ^1H -NMR spectra of **3a**.

Analysis of ^{13}C -NMR spectra

The ^{13}C -NMR spectra (Figure 4) of **3a** showed in γ -lactam ring singlet signal at $\delta = 48$ ppm for methylene group ($\text{C}_4\text{-H}_2$), at 52 ppm for C_2 -ring, at 58 ppm for C_3 -ring, at 174 ppm for $\text{O}=\text{C}$ -ring, multiplet at 114-151 ppm for aromatic protons (m, C-Ar), at δ 175 ppm for $\text{HN}=\text{C}=\text{O}$, and at 179 ppm for $\text{HO}=\text{C}=\text{O}$.


 Figure 4. ^{13}C -NMR spectra of **3a**.

Analysis of mass spectra

The mass spectrum of **3a** shows the molecular ion peak corresponding to the particular compound at 477 m/z , and the fragmentation of **3a** showed the peaks at 346, 301, 198, 132, 104, 90, 77, 65 m/z which are attributed to the fragments of $\text{C}_{16}\text{H}_{12}\text{BrNO}_3^+$, $\text{C}_{15}\text{H}_{11}\text{BrNO}^+$, $\text{C}_7\text{H}_4\text{BrNO}^+$, $\text{C}_8\text{H}_6\text{NO}^+$, $\text{C}_7\text{H}_6\text{N}^+$, C_7H_6^+ , C_6H_5^+ and C_5H_5^+ respectively.

The mass spectrum of **3b** shows the molecular ion peak corresponding to the compound at 496 m/z. The fragmentation of **3b** showed the peaks at 428, 467, 439, 422, 387, 329, 198, 131, 77 and 65 m/z which are attributed to the fragments of $C_{24}H_{20}BrNO_5^+$, $C_{23}H_{17}BrNO_5^+$, $C_{22}H_{17}BrNO_4^+$, $C_{22}H_{16}BrNO_3^+$, $C_{23}H_{17}NO_5^+$, $C_{16}H_{11}BrNO_2^+$, $C_7H_4BrNO^+$, $C_9H_7O^+$, $C_6H_5^+$, $C_5H_5^+$ respectively.

The mass spectrum of **3c** shows the molecular ion peak corresponding to the compound at 497 m/z. The fragmentation of **3c** showed the peaks at 312, 295, 267, 225, 174, 77 and 65 m/z which are attributed to the fragments of $C_{16}H_{12}N_2O_3^+$, $C_{16}H_{11}N_2O_4^+$, $C_{13}H_9N_2O_2^+$, $C_9H_9N_2O_2^+$, $C_6H_5^+$ and $C_5H_5^+$ respectively.

Analysis of ^{13}C -NMR DEPT spectra

^{13}C -NMR DEPT spectral of **3a** showed in γ -lactam ring singlet signal at $\delta = 48$ (negative) ppm for methylene group (C_4-H_2), at 52 (positive) ppm for (C_2 -ring), at 58 (positive) ppm for (C_3 -ring), at 174 (positive) ppm for ($O=C$ -ring) a multiplet signal at 116-151 (positive) ppm for aromatic protons (m, C-Ar), at 175 (positive) ppm for ($HN-C=O$) and a signal at 179 (positive) ppm for ($HO-C=O$).

^{13}C -NMR DEPT spectral of **3b** showed in γ -lactam ring singlet signal at $\delta = 42.11$ (negative) ppm for methylene group (C_4 -ring), at 49.17 (positive) ppm for C_2 -ring, at 58.18 (positive) ppm for C_3 -ring, at 173 (positive) ppm for $O=C$ -ring, a multiplet at 115-158 (positive) ppm for aromatic protons (m, C-Ar), at 180 (positive) ppm for ($HO-C=O$), at 29 (positive) ppm for $-CH_3$ and a signal at 42 (negative) ppm for $O-CH_2$.

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