



## A Comprehensive Review: Structure Based N-Nitrosamine Impurity Risk Assessment of an Anti-Coagulant Drug Rivaroxaban

Kedarnath Birajdar<sup>1</sup>, Sudhakara A<sup>2\*</sup>, Shubhrajyotsna Aithal<sup>1</sup>, Praveen B M<sup>1\*</sup>, Prashanth Kumar Babu<sup>1</sup>

<sup>1</sup>Département of Chemistry, Srinivas University Campus, Srinivas Nagar, Mukka, Surathkal, Mangalore – 574146, Karnataka, India

<sup>2\*</sup>R&D, Département of Chemistry, RajaRajeswari College of Engineering, Ramohalli Cross, Kumbalagodu, Bengaluru-560074, Karnataka, India.

Corresponding Author : Sudhakara A : [suda.sagar@gmail.com](mailto:suda.sagar@gmail.com)

Kedarnath Birajdar: [kedar.birajdar11@gmail.com](mailto:kedar.birajdar11@gmail.com)

### Abstract:

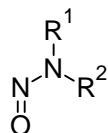
In the recent years, presence of N-Nitrosamine impurity in the drug substance as well in the drug product became the critical and serious concern. The drugs in the Sartan family have been recalled from market due to presence of N-Nitrosamine Nitrosamine impurities are carcinogenic and could cause cancer to human<sup>1-9</sup>. The multiple root causes of nitrosamine contamination listed in the literature can occur within the API Manufacturing process. Therefore, multiple strategies may be necessary to identify all potential source<sup>10-18</sup>. Regulatory agencies have recommended the through N-Nitrosamine risk assessment, nanogram level quantification analytical methods and control in the API manufacturing process<sup>19-26</sup>.

Here we have provided the through risk assessment-based EMA guideline on Starting Material route of synthesis and API Route of Synthesis and also provided calculation for allowable limit for individual Nitrosamine impurity in an anticoagulant drug called Rivaroxaban. As nitrosamine impurities are regard as probable human carcinogen, the risk assessment of the generation of nitrosamine impurities during synthesis, production, or stability is mandated by regulatory agencies

Key words: N-Nitrosamine Impurity, Risk assessment, API Process, Carcinogenic impurities, Commercial process, Rivaroxaban,

### Introduction

N-Nitrosamines are potential carcinogenic compounds having general structure:



N-Nitrosamines can be formed when an amine and nitro sating agent arc combined under favourable conditions although other generation pathways are also possible, such a e.g. oxidation and reduction processes from hydrazine-type compounds and N-nitro derivatives as per

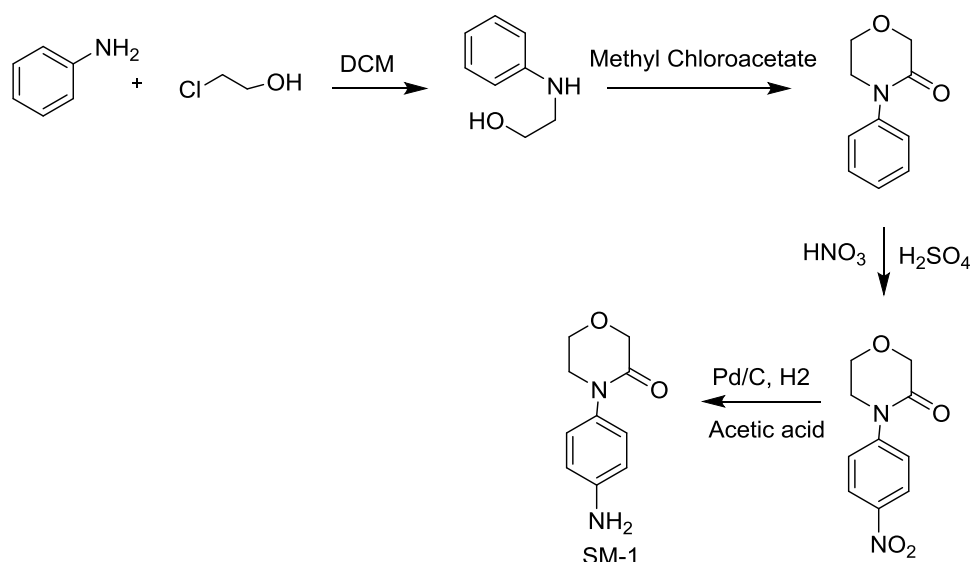
EMA/369136/2020 document "Nitrosamine impurities in human medicinal products" risk evaluation needs to be performed for the presence of N-Nitrosamines in human medicinal products containing chemically synthesized active pharmaceutical ingredients. Because the final drug substance possesses i) noxious starting materials, process intermediates, reagents at low level as impurities, and ii) the by-products of synthetic processes as toxic impurities'. But, the presences of PGIs at high enough concentrations and other toxic impurities in drug substances could cause adverse health effects in humans, for example elicit cancer to humans<sup>27-44</sup>

The process Scientist plays a key role in avoiding the formation or control of Nitrosamine during the development of efficient manufacturing process for the manufacture of drugs.

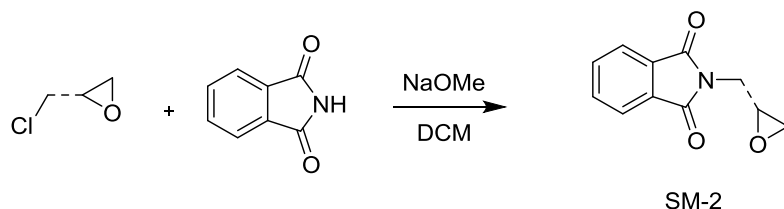
Hence, identification, characterization and control of these Nitrosamine impurity are very important. Otherwise, it could lead to holding of drug approval, clinical trials for new drug discovery programmes or delay of approval from regulatory agencies for both new and generic drugs.

Herein, **we** report the complete Evaluation of ROS and risk assessment of N-Nitrosamine impurity in the manufacturing process of Rivaroxaban.

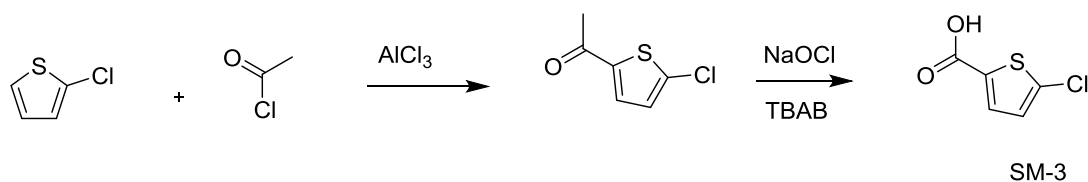
Commercially. Rivaroxaban can be manufactured by taking three starting materials and details of all three Route of synthesis provided as below<sup>45-50</sup>



### ROS for Rivaroxaban Starting Material-2

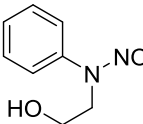
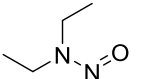
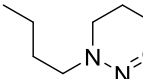


### ROS for Rivaroxaban Starting Material-3

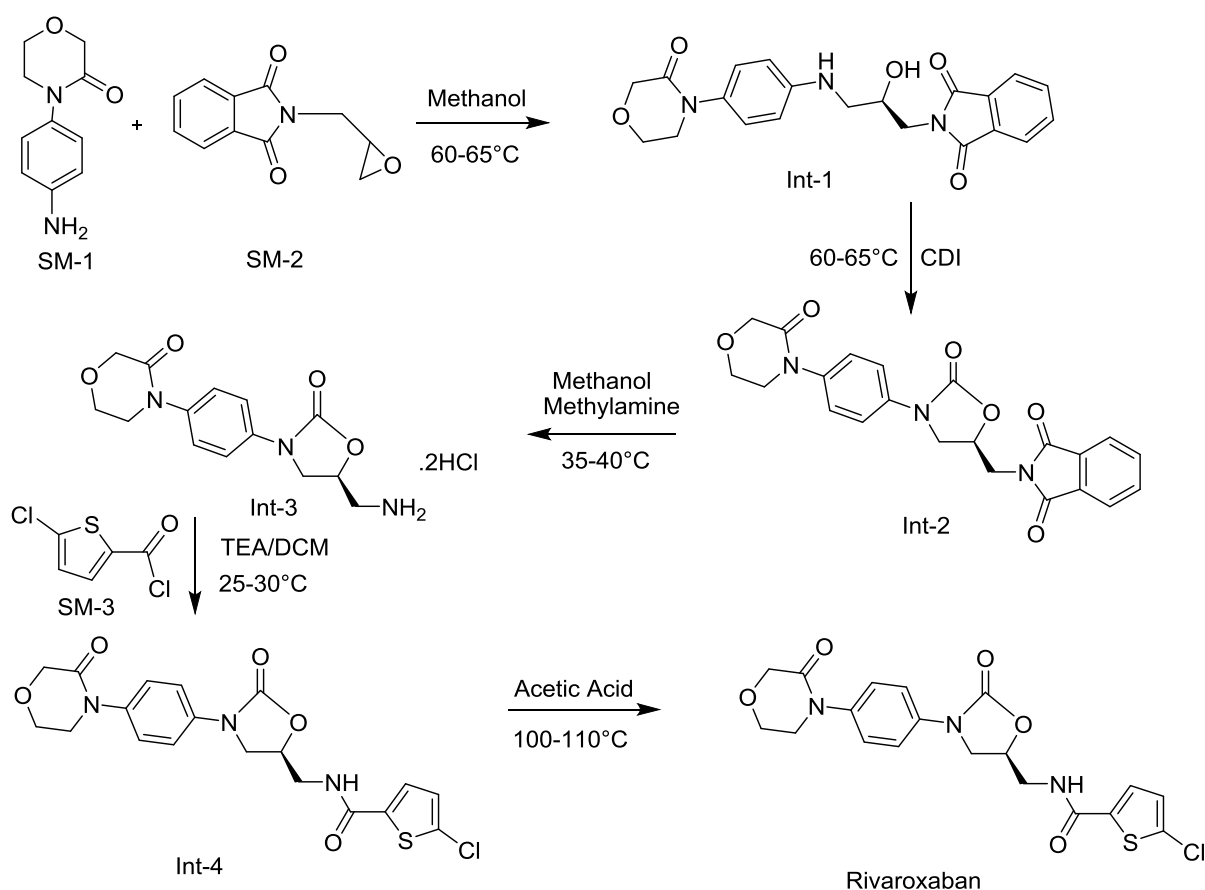


### Risk Assessment for the critical N-Nitrosamine impurity formation in starting material.

Nitric acid is used in stage-II and one of the raw material of SM-1 i.e. 2-Anilinoethanol contains secondary amine in its structure. Hence, corresponding nitrosamine is possible. However, no nitrosating agent used in the synthesis of starting material-1. Therefore, possible formation of nitrosamine impurity from 2-anilinoethanol, Trimethylamine and Tetrabutylammonium Bromide have listed below<sup>51-53</sup>.

Sr.No	Name	Structure	Source
1	N-Nitroso SM		2-Anilinoethanol
2	N-Nitrosodiethylamine		TEA
3	N-Dibutylnitrosamine		TBAB

### Route of Synthesis of Rivaroxaban API



There is no nitrosating agent used in the synthesis of Rivaroxaban API. However, triethylamine and *N,N*-dimethylformamide is used during the synthesis and therefore, corresponding nitrosamines NDEA and NDMA are possible. Further, intermediate-1 and two process

impurities i.e. Process Impurity-1 and Process Impurity-2 contains secondary amine functionality in its structure and monitored in API specification with the limit NMT 0.15%. Therefore, their corresponding nitrosamines are possible and confirmatory testing in API is required.

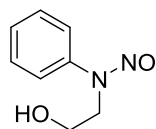
The Following are the possible nitrosamine from the ROS of Rivaroxaban.

Sr.No	Name	Structure	Source
1	N-Nitroso Intermediate-1		Intermediate-1
2	NDMA		DMF
3	NDEA		TEA
4	N-Nitroso Process Impurity-1		Process Impurity=1
5	N-Nitroso Process Impurity-2		Process Impurity-2

**Note: For NDMA, NDEA and NDBA Allowable limit has been provided in the LAMA guideline.**

**Calculation of Potency category & acceptable intake using Carcinogenic Potency Categorization Approach (EMA/409815/2020 Rev. 17)**

### 1.1 Calculation of Potency category & acceptable intake for N-Nitroso SM-S1



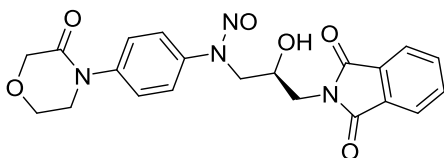
Count on alpha hydrogen	Alpha hydrogen Score	Future Highlighted	Remarks
0,2	2		Alpha methylene carbon is not part of ethyl group
<b>Deactivating features</b> Hydroxyl group bonded to 11-carbon* on only one side of N-nitroso group (cyclic or acyclic)	+1	Alcohol group is present	NA
<b>Chains of 5 consecutive non-hydrogen atoms on both side of acyclic N-Nitroso group</b>	+1		
No activating feature			NA
Potency Score = 3+1+1 = 5	Potency Category = 5	<b>AI = 1500 ng/day</b>	NA

**\*Maximum Daily Dose (MDD) of Rivaroxaban is 30 mg**

### 1 Calculation of acceptable limit for N-Nitroso SM-1

$$\begin{aligned} \text{Acceptable limit (ppm)} &= \text{AI (ng/day)} / \text{MDD (mg)} \\ &= 400/30 \\ &= 13.3 \end{aligned}$$

### 1.2 Calculation of Potency category & acceptable intake for N-Nitroso Intermediate-1

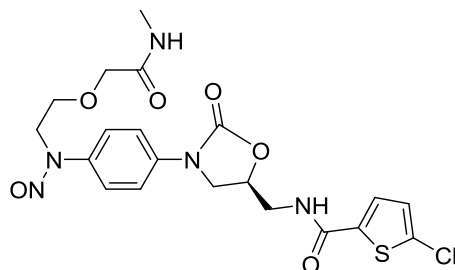


Count on alpha hydrogen	Alpha hydrogen Score	Future Highlighted	Remarks
0,2	2		NA
<b>Deactivating features</b> Hydroxyl group bonded to 11-carbon* on only one side of N-nitroso group (cyclic or acyclic)	+1	COOH group present	NA
No activating feature			NA
Potency Score = 2+1 = 3	Potency Category = 3	<b>AI = 400 ng/day</b>	NA

### Calculation of acceptable limit for N-Nitroso Intermediate-1

$$\begin{aligned} \text{Acceptable limit (ppm)} &= \text{AI (ng/day)} / \text{MDD (mg)} \\ &= 400/30 \\ &= 13.3 \end{aligned}$$

### Calculation of Potency category & acceptable intake for N-Nitroso Process Impurity-1



COUNT ON ALPHA HYDROGEN	ALPHA HYDROGEN SCORE	FUTURE HIGHLIGHTED	REMARKS
0,2	2		Alpha methylene carbon is not part of ethyl group
Chains of 5 consecutive non-hydrogen atoms on both side of acyclic N-Nitroso group	+1	NA	NA
No activating feature			NA
Potency Score = 2+1 = 3	Potency Category = 3	AI = 400 ng/day	NA

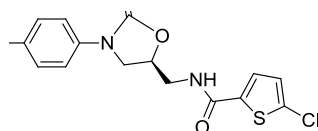
### Calculation of acceptable limit for N-Nitroso Process Impurity-1

$$\text{Acceptable limit (ppm)} = \text{AI (ng/day)} / \text{MDD (mg)}$$

$$= 400/30$$

$$= 13.3$$

### Calculation of Potency category & acceptable intake for N-Nitroso Process Impurity-2



COUNT ON ALPHA HYDROGEN	ALPHA HYDROGEN SCORE	FUTURE HIGHLIGHTED	REMARKS
0, 2	2		Alpha methylene carbon is part of ethyl group



<b>Carboxylic acid group anywhere in the molecule</b>	+3		
<b>Chains of 5 consecutive non-hydrogen atoms on both side of acyclic N-Nitroso group</b>	+1	NA	NA
No activating feature	No		NA
Potency Score = 2+3+1 = 6	Potency Category = 5	<b>AI = 1500 ng/day</b>	NA

### Calculation of acceptable limit for N-Nitroso Process Impurity-2

$$\begin{aligned}\text{Acceptable limit (ppm)} &= \text{AI (ng/day)} / \text{MDD (mg)} \\ &= 1500/30 = 50\end{aligned}$$

### CONCLUSION:

The overall conclusions for the risks assessment, all starting material route of synthesis and API route of synthesis have been evaluated and possible formation of Nitrosamine impurity listed with potency category. Based on Potency score, calculated allowable limit for individual impurity by taking in account, with through nitrosamine risk assessment and their analysis in the drug substances and drug product can deliver safe and effective drug to the patient. The risk for the formation of nitrosamines in the final drug product by evaluating their synthesis, applying relevant controls, consulting supplier and generating relevant data and then this systematic assessment for nitrosamine impurity will be useful for the submission of drug to the regulatory authority for the pharmaceutical generic industry.

### Acknowledgement:

We are thankful to Management and Principal, RajaRjeswari College of Engineering Bengaluru and the R&D Department Chemistry Srinivasa University Managluru for moral support for the successful completion of this work.

## **References:**

European Medicines Agency, EMA/500009/2019

1. Estimated Cancer Risks Associated with Nitrosamine Contamination in Commonly Used Medications, *International J Environ Rcs Public Health.*, 2021 Sep; 18(18): 9465
2. Control of Nitrosamine Impurity in Human Drug, Guidance for industry (FDA). February 2021 Revision-1
3. Linda KD, Marvin MH, Brian WP, Todd JP, Steven WB (2013) The assessment of impurities for genotoxic potential and subsequent control in drug substance and drug product. *J Pharm Sci* 102:1404–1418
4. Amit G, Hussain S, Tabrez S (2018) Genotoxic impurities and its risk assessment in drug compound. *DDIPIJ*
5. Rene H, David PE (2016) Analytical advances in pharmaceutical impurity profiling. *Eur J Pharm Sci* 87:118–135
6. De la Monte SM, Tong M (2009) Mechanisms of nitrosamine-mediated neurodegeneration: potential relevance to sporadic Alzheimer's disease. *J Alzheimer's Dis* 17(4):817–825
7. McGovern T, Jacobson-Kram D (2006) Regulation of genotoxic and carcinogenic impurities in drug substances and products. *TrAC Trends Anal Chem* 25:790–795.
8. Sonali SB (2021) Critical analysis of drug product recalls due to nitrosamine impurities. *J Med Chem* 64:2923–2936.
9. Challis BC (1985) Nutrition and nitrosamine formation. *Proc Nutr Soc* 44:95–100.
10. FDA-CDER (2020) Control of nitrosamine impurities in human drugs guidance for industry. Docket Number: FDA-2020-D-1530
11. Gushgari AJ, Halden RU (2018) Critical review of major sources of human exposure to N-nitrosamines. *Chemosphere* 210:1124–1136.
12. European pharmacopeia 10.6 chapters (2.5.42). N-Nitrosamines in active substances and revised Sartan monographs.
13. EMA, ICH guideline M7(R1) on assessment and control of DNA reactive (mutagenic) impurities in pharmaceuticals to limit potential carcinogenic risk, 25 August 2015: EMA/CHMP/ICH/83812/2013
14. FDA, CDER, M7 (R1) (2018) Assessment and control of DNA reactive (mutagenic) impurities in pharmaceuticals to limit potential carcinogenic risk guidance for industry. U.S. Department of Health and Human Services
15. International Conference on Harmonization (ICH) (2017). Guideline M7 (R1) Assessment and control of DNA reactive (mutagenic) impurities in pharmaceuticals to limit potential carcinogenic risk. March 2017
16. EMA (2021) Questions and answers for marketing authorisation holders/applicants on the CHMP Opinion for the Article 5(3) of Regulation (EC) No 726/2004 referral on nitrosamine impurities in human medicinal products, EMA/409815/2020 Rev.17
17. Becker R, Berkowitz SD, Breithardt G (2010) Rivaroxaban-once daily, oral, direct factor Xa inhibition compared with vitamin K antagonism for prevention of stroke and Embolism Trial in Atrial Fibrillation: rationale and design of the ROCKET AF study. *Am Heart J* 159:340–347.

18. Roehrig S, Straub A, Pohlmann J, Lampe T, Pernerstorfer J, Schlemmer KH, Reinemer P, Perzborn E (2005) Discovery of the novel antithrombotic agent 5-chloro-N-((5S)-2-oxo-3-[4-(3-oxomorpholin-4-yl)phenyl]-1,3-oxazolidin-5-yl)methylthiophene-2-carboxamide (BAY 59-7939): an oral, direct factor Xa inhibitor. *J Med Chem* 48:5900-5908
19. Mann KG, Brummel K, Butenas S (2003) What is all that thrombin for? *J ThrombHaemost* 1:1504-1514
20. Mueck W, Schwers S, Stampfuss J (2013) Rivaroxaban and other novel oral anticoagulants: pharmacokinetics in healthy subjects, specific patient populations and relevance of coagulation monitoring. *Thrombosis J.*
21. Roehrig S, Straub A, Pohlmann J, Lampe T, Pernerstorfer J, Schlemmer KH (2005) Discovery of the novel antithrombotic agent 5-chloro-n-((5s)-2-oxo-3-[4-(3-oxomorpholin-4-yl)phenyl]-1,3-oxazolidin-5-yl)methylthiophene-2-carboxamide (bay 59-7939): an oral, direct factor xa inhibitor. *J Med Chem* 48:5900-5908.
22. Biemond BJ, Perzborn E, Friederich PW, Levi M, Buetehorn U, Buller HR (2007) Prevention and treatment of experimental thrombosis in rabbits with rivaroxaban (BAY 597939)-an oral, direct factor Xa inhibitor. *ThrombHaemost* 97:471-477.
23. Gulseth MP, Michaud J, Nutescu EA (2008) Rivaroxaban: an oral direct inhibitor of factor Xa. *Am J Health-Syst Ph* 65:1520-1529.
24. Global Rivaroxaban Market Growth at a CAGR of 8.2% during 2018-2025.
25. Tanzeela Abdul F, Aamer S (2017) A review on the synthetic approaches of rivaroxaban an anticoagulant drug. *Tetrahedron Asymmetry* 28:485-504.
26. USP General Chapter <1225> Validation of compendial procedures
27. Zerong W (2010) Sandmeyer reaction. *Comprehensive Organic name reactions and reagents*. Wiley, Hoboken
28. World Health Organization (WHO) *Information Note Nitrosamine impurities [displayed 24 September 2020]*
29. Song P, Wu L, Guan W. Dietary nitrates, nitrites, and nitrosamines intake and the risk of gastric cancer: A meta-analysis. *Nutrients*. 2015;7:9872-95. doi: 10.3390/nu7125505.
30. E.A. Aboagye *et al.*
31. Systems level roadmap for solvent recovery and reuse in industries
32. *iScience*(2021)
- A. Al-Majed *et al.*
33. Pioglitazone. *Profiles Drug Subst. Excipients Relat. Methodol.*(2016)
34. J.C. Beard *et al.*
35. An organic chemist's guide to N-nitrosamines: their structure, reactivity, and role as contaminants
36. *J. Org. Chem.*(2021)
37. W.-H. Chen *et al.*
  - A. Influence of nitrogen source on NDMA formation during chlorination of diuron
  - B. *Water Res.*(2009)
  - C. Crews
  - D. Processing contaminants: N-nitrosamines
38. G. Egert *et al.*
  - A. Formation of mutagenic N-nitroso compounds from the pesticides prometryne, dodine and carbaryl in the presence of nitrite at pH 1
  - B. *Mutat. Res. Mol. Mech. Mutagen.*(1976)

39. W. Fiddler *et al.* The presence of dimethyl- and diethyl-nitrosamines in deionized water *Food Chem. Toxicol.*(1977)
40. M. Fritzsche *et al.* NDMA analytics in metformin products: comparison of methods and pitfalls *Eur. J. Pharmaceut. Sci.*(2022)
42. J. Glastrup Degradation of polyethylene glycol. A study of the reaction mechanism in a model molecule: tetraethyleneglycol *Polym. Degrad. Stabil.*(1996)
43. M. González Cid *et al.* Nitroso-aldicarb induces sister-chromatid exchanges in human lymphocytes in vitro *Mutat. Res. Toxicol.*(1988)
- A. M. Jamrógiewicz *et al.* **Detection of some volatile degradation products released during photoexposition of ranitidine in a solid state**, *J. Pharm. Biomed. Anal.*(2013)
44. W. Kimoto *et al.* *Water Res.*(1980), Konstantinou *et al.* Role of strong ion exchange resins in nitrosamine formation in water, Tobacco-specific nitrosamines: a literature review *Food Chem. Toxicol.* (2018)
45. S.W. Krasner *et al.*
  - A. Formation, precursors, control, and occurrence of nitrosamines in drinking water: a review
  - B. *Water Res.*
  - C. (2013)
46. R. López-Rodríguez *et al.*
  - A. Pathways for N-Nitroso compound formation: secondary amines and beyond
  - B. *Org. Process Res. Dev.*
  - C. (2020)
47. D.J. McWeeny
  - A. Nitrosamines in beverages
  - B. *Food Chem.*
  - C. (1983)
48. K.K. Nanda *et al.*
  - A. Inhibition of N-nitrosamine formation in drug products: a model study
  - B. *J. Pharmacol. Sci.*
  - C. (2021)
49. J. Nawrocki *et al.* Nitrosamines and water *J. Hazard Mater.*(2011)
  - A. Pan *et al.*
  - B. Identification of pharmaceutical impurities in formulated dosage forms
  - C. *J. Pharmacol. Sci.*
  - D. (2011)
50. M.K. Parr *et al.*
  - A. NDMA impurity in valsartan and other pharmaceutical products: analytical methods for the determination of N-nitrosamines
  - B. *J. Pharm. Biomed. Anal.*
  - C. (2019)
51. S. Schmidtsdorff *et al.*
  - A. Analytical lifecycle management for comprehensive and universal nitrosamine analysis in various pharmaceutical formulations by supercritical fluid chromatography
  - B. *J. Pharm. Biomed. Anal.*
  - C. (2021)

52. S. Schmidtsdorff *et al.*

A. Simultaneous detection of nitrosamines and other sartan-related impurities in active pharmaceutical ingredients by supercritical fluid chromatography

J. Pharm. Biomed. Anal.  
(2019)