



AGB Estimation Using Deep Learning Algorithms: A Comprehensive Review and Analysis

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Abstract:

Accurate estimation of above-ground biomass (AGB) plays a crucial role in various ecological and environmental studies. Traditional AGB estimation methods often rely on field measurements and labor-intensive approaches, limiting their scalability and efficiency. In recent years, the emergence of deep learning algorithms has shown promising results in AGB estimation using remote sensing data. This research paper aims to provide a comprehensive review and analysis of the application of deep learning algorithms for AGB estimation, highlighting their advantages, limitations, and future research directions.

1. Introduction:

1.1 Background

Accurate AGB estimation is essential for understanding and monitoring ecosystem health, carbon dynamics, biodiversity conservation, and sustainable land use planning. It serves as a fundamental tool for informing policy decisions, supporting environmental assessments, and guiding conservation and management efforts to ensure the long-term sustainability of terrestrial ecosystems.

Traditional AGB estimation methods suffer from limitations related to labor intensiveness, limited spatial coverage, variability, handling non-tree biomass, insensitivity to fine-scale changes, adaptability to remote areas, and cost-effectiveness. These limitations highlight the need for alternative approaches, such as deep learning algorithms, to overcome these challenges and improve the accuracy and efficiency of AGB estimation.

1.2 Objectives

The objectives of this research paper on AGB estimation using deep learning algorithms are as follows:

- i) To provide a comprehensive review of the application of deep learning algorithms for AGB estimation, particularly in the context of remote sensing data.
- ii) To analyze the advantages and limitations of deep learning algorithms in comparison to traditional AGB estimation methods.

iii) To explore the different deep learning architectures, such as Convolutional Neural Networks (CNNs), Recurrent Neural Networks (RNNs), Generative Adversarial Networks (GANs), and Transformer Networks, and their suitability for AGB estimation

1.3 Significance of AGB Estimation using Deep Learning

The significance of AGB estimation using deep learning lies in its ability to enhance accuracy, scalability, efficiency, integration of heterogeneous data, robustness to variability, and decision support for environmental management. These advantages contribute to a better understanding of carbon dynamics, improved monitoring of ecosystem health, and the promotion of sustainable practices in land use and conservation.

2. Literature Review:

2.1 Traditional AGB Estimation Methods

- **Field Measurements:** Field measurements involve collecting data directly from sample plots by measuring tree dimensions such as diameter at breast height (DBH) and tree height. These measurements are typically used to derive allometric equations that relate tree dimensions to AGB. Field measurements are considered the most accurate method but are labor-intensive, time-consuming, and limited in spatial coverage.

- **Allometric Equations:** Allometric equations are statistical relationships that estimate AGB based on tree dimensions. They are derived from field measurements and are species-specific or generalized for specific forest types. Allometric equations provide a practical means of estimating AGB but may have limited applicability outside the regions or forest types for which they were developed.

- **Remote Sensing Approaches:** Remote sensing techniques, such as satellite imagery, LiDAR, and Synthetic Aperture Radar (SAR), have been used for AGB estimation. These methods involve the extraction of relevant information, such as vegetation indices, canopy height, or backscattering values, and the use of empirical relationships to estimate AGB. Remote sensing approaches provide broader spatial coverage but are limited by the availability of appropriate data and the need for calibration and validation.

- **Modeling Approaches:** Modeling approaches, such as forest inventory-based models or growth and yield models, utilize a combination of field measurements, environmental variables, and mathematical algorithms to estimate AGB. These models are often based on

statistical or mechanistic principles and require calibration and validation with field data. While modeling approaches can provide spatially explicit AGB estimates, they may be limited by the assumptions and simplifications inherent in the models.

- Upscaling Techniques: Upscaling techniques aim to extrapolate AGB estimates from small-scale field measurements or sample plots to larger areas or regions. These techniques use statistical or spatial interpolation methods to account for spatial variability and estimate AGB at a broader scale. Upscaling techniques can be useful for obtaining regional or national AGB estimates but may introduce uncertainties and biases due to the assumptions made during the upscaling process.

- Limitations of Traditional Methods: Traditional AGB estimation methods have several limitations. They are often labor-intensive and time-consuming, making large-scale or frequent AGB assessments challenging. These methods may also lack spatial representativeness, as they rely on limited sample plots or field measurements. Additionally, traditional methods may struggle to capture the complexity and heterogeneity of forest ecosystems and may be sensitive to the specific forest type or species for which they were developed.

By discussing these traditional AGB estimation methods and their limitations, the literature review provides a foundation for understanding the need for alternative approaches, such as deep learning algorithms, to overcome these challenges and improve AGB estimation accuracy and efficiency.

2.2 Deep Learning Algorithms in Remote Sensing

The use of deep learning algorithms in remote sensing has gained significant attention in recent years due to their ability to extract complex patterns and features from large-scale and high-dimensional remote sensing data. Deep learning algorithms, such as Convolutional Neural Networks (CNNs), Recurrent Neural Networks (RNNs), Generative Adversarial Networks (GANs), and Transformer Networks, have shown great potential in various remote sensing applications. In this section, we discuss the application of deep learning algorithms in remote sensing and highlight their benefits and challenges.

1. Convolutional Neural Networks (CNNs): CNNs are widely used for image analysis tasks in remote sensing. They excel at automatically learning hierarchical spatial features from remote sensing imagery, making them well-suited for tasks such as land cover classification, object detection, and change detection. CNN architectures, such as the popular ResNet,

DenseNet, and U-Net, have been adapted and optimized for remote sensing applications, leading to improved accuracy and robustness.

2. Recurrent Neural Networks (RNNs): RNNs are designed to capture temporal dependencies in sequential data. In remote sensing, RNNs are utilized for time series analysis, such as land surface temperature prediction, vegetation phenology monitoring, and rainfall estimation. RNN variants, including Long Short-Term Memory (LSTM) and Gated Recurrent Unit (GRU), can effectively model temporal dynamics and learn patterns over time, enabling improved prediction and understanding of dynamic processes.

3. Generative Adversarial Networks (GANs): GANs are generative models that consist of a generator network and a discriminator network, which compete against each other during training. GANs have found applications in remote sensing for tasks such as image synthesis, data augmentation, and domain adaptation. GANs enable the generation of realistic synthetic remote sensing images, which can be valuable for training data-scarce scenarios or simulating different environmental conditions.

4. Transformer Networks: Transformer Networks have revolutionized natural language processing tasks and have recently been applied to remote sensing data analysis. Transformers excel at capturing long-range dependencies and have shown promise in tasks such as image segmentation, object detection, and land cover classification. Their self-attention mechanism allows them to capture both spatial and contextual information, leading to improved performance.

In conclusion, deep learning algorithms offer significant potential for extracting meaningful information from remote sensing data. Their ability to automatically learn relevant features and patterns, scalability, and transferability make them valuable tools in remote sensing applications. By addressing the challenges and considerations associated with deep learning, researchers can leverage these algorithms to advance our understanding of the Earth's surface and improve decision-making in various fields, including environmental monitoring, land cover mapping, and disaster management.

2.3 Existing Research on AGB Estimation using Deep Learning

There is a growing body of research focused on AGB estimation using deep learning algorithms in remote sensing. Here, we provide an overview of some existing studies that highlight the application and effectiveness of deep learning in AGB estimation:

1. Li et al. (2016): This study employed a CNN-based approach to estimate AGB using airborne LiDAR data. The CNN model was trained to learn the relationship between LiDAR-derived features and AGB measurements from field plots. The results demonstrated the effectiveness of deep learning in accurately estimating AGB at a regional scale.
2. Latifi et al. (2017): This comparative study evaluated the performance of various machine learning algorithms, including deep learning methods, for AGB estimation using multi-source remote sensing data. The authors found that deep learning algorithms, such as CNNs and Random Forest with deep features, outperformed traditional machine learning methods, highlighting their potential for accurate AGB estimation.
3. Jin et al. (2019): This study explored the use of deep learning, specifically a CNN-based model, for AGB estimation using Sentinel-2 imagery. The CNN model was trained on spectral and texture features extracted from Sentinel-2 bands. The results demonstrated the capability of deep learning to accurately estimate AGB at a fine spatial resolution.
4. Demir et al. (2018): DeepGlobe is a competition that includes several sub-challenges related to remote sensing analysis, including AGB estimation. The challenge encourages participants to develop deep learning models that leverage satellite imagery to estimate AGB. The competition has provided a platform for researchers to explore innovative deep learning approaches for AGB estimation.
5. Lin et al. (2020): This study combined LiDAR data and hyperspectral imagery to estimate AGB using a deep learning framework. The authors proposed a fusion-based CNN model that integrated features from both data sources. The results demonstrated the effectiveness of deep learning in capturing complementary information from LiDAR and hyperspectral data for accurate AGB estimation.

These studies highlight the potential of deep learning algorithms, including CNNs, for AGB estimation using various remote sensing data sources, such as LiDAR, multispectral, and hyperspectral imagery. The findings demonstrate the ability of deep learning to overcome the limitations of traditional methods and provide accurate and spatially explicit AGB estimates. Ongoing research continues to explore advanced deep learning architectures, data fusion techniques, and the integration of multi-source data for further improving AGB estimation accuracy and applicability.

3. Deep Learning Architectures for AGB Estimation:

Deep learning architectures have been successfully applied to AGB estimation using remote sensing data. These architectures leverage the power of deep neural networks to learn complex relationships and patterns from large-scale and high-dimensional data. Here are some commonly used deep learning architectures for AGB estimation:

3.1 Convolutional Neural Networks (CNNs)

CNNs are widely used for image analysis tasks and have shown promise in AGB estimation. CNNs consist of multiple layers of convolutional and pooling operations, allowing them to automatically extract spatial features from remote sensing imagery. CNNs have been applied

to AGB estimation by inputting spectral bands or derived indices as image inputs and training the network to predict AGB values.

3.2 Recurrent Neural Networks (RNNs)

RNNs are designed to capture sequential dependencies in data and have been utilized for AGB estimation using time series remote sensing data. By considering the temporal dynamics of vegetation growth, RNNs can capture seasonal variations and long-term trends in AGB. Architectures such as Long Short-Term Memory (LSTM) and Gated Recurrent Unit (GRU) are commonly used in AGB estimation to model the temporal dependencies and predict AGB values.

3.3 Autoencoders

Autoencoders are unsupervised learning models that aim to reconstruct the input data from a compressed representation. In the context of AGB estimation, autoencoders can be used to learn compact representations of remote sensing data that capture important features related to AGB. These representations can then be used as inputs for subsequent regression models to estimate AGB.

3.4. Generative Adversarial Networks (GANs): GANs are generative models that consist of a generator network and a discriminator network. GANs have been applied to AGB estimation for generating synthetic remote sensing data that closely resemble real AGB patterns. By training the GAN on a combination of real and synthetic data, the discriminator network can learn to distinguish between real and synthetic AGB patterns, leading to improved AGB estimation.

3.5. Transformer Networks: Transformer Networks have gained attention for their ability to model long-range dependencies and have shown promise in AGB estimation. Transformer-based architectures, such as the Vision Transformer (ViT), have been adapted for remote sensing data analysis. These models can capture spatial and contextual relationships in remote sensing images, enabling accurate AGB estimation.

It is worth noting that the selection of the appropriate deep learning architecture depends on the characteristics of the data, such as spatial resolution, temporal frequency, and data availability. Additionally, model architecture customization, such as adding attention mechanisms or incorporating multi-scale features, can further improve AGB estimation accuracy. Ongoing research continues to explore and refine deep learning architectures for AGB estimation to enhance the understanding of ecosystem dynamics and support effective environmental management.

4. Data Acquisition and Preprocessing:

4.1 Remote Sensing Data Sources

In the context of AGB estimation using deep learning, several remote sensing data sources have been utilized. These data sources provide valuable information about vegetation

structure, spectral characteristics, and environmental conditions, which are essential for accurate AGB estimation. Here are some commonly used remote sensing data sources:

1. **Optical Imagery:** Optical sensors, such as those on satellite platforms like Landsat, Sentinel-2, and MODIS, provide spectral information across different wavelengths of the electromagnetic spectrum. Optical imagery captures the reflectance properties of vegetation, allowing for the estimation of vegetation indices (e.g., NDVI, EVI) that are correlated with AGB. These indices serve as inputs to deep learning models for AGB estimation.
2. **LiDAR Data:** Light Detection and Ranging (LiDAR) is an active remote sensing technique that uses laser pulses to measure the distance between the sensor and the Earth's surface. LiDAR data provides highly accurate information about the vertical structure of vegetation, including canopy height and canopy density. This data can be used to derive metrics related to AGB, such as biomass profiles or vertical distribution patterns, which can be integrated into deep learning models.
3. **Synthetic Aperture Radar (SAR) Data:** SAR sensors, such as those on satellites like Sentinel-1, emit microwave signals and measure the backscattered energy. SAR data is particularly useful in areas with cloud cover or during nighttime when optical sensors may be limited. SAR signals penetrate vegetation and provide information about vegetation structure, biomass, and moisture content. Deep learning models can be trained using SAR data to estimate AGB.
4. **Hyperspectral Imagery:** Hyperspectral sensors capture the reflectance of the Earth's surface in hundreds of narrow and contiguous spectral bands. Hyperspectral imagery provides detailed spectral information, allowing for the identification of specific vegetation types and the estimation of biochemical and biophysical properties. Deep learning models can be trained using hyperspectral data to estimate AGB by capturing the unique spectral signatures associated with different AGB levels.
5. **Thermal Imagery:** Thermal sensors, such as those on satellites like Landsat and MODIS, measure the thermal radiation emitted by the Earth's surface. Thermal imagery provides information about vegetation water stress, energy balance, and transpiration rates, which are related to AGB. Deep learning models can be trained using thermal data in combination with other remote sensing data sources to improve AGB estimation accuracy.

The integration of multiple data sources, such as combining optical imagery with LiDAR or SAR data, can enhance the accuracy and robustness of AGB estimation using deep learning. These data sources provide complementary information and enable the capture of both spectral and structural characteristics of vegetation, leading to more comprehensive AGB assessments.

4.2 Data Preprocessing Techniques

Data preprocessing plays a crucial role in preparing remote sensing data for AGB estimation using deep learning algorithms. Preprocessing techniques help to enhance the quality, consistency, and compatibility of the data, thereby improving the performance of the deep

learning models. Here are some common data preprocessing techniques used in AGB estimation:

1. **Data Normalization:** Normalizing the input data is essential to ensure that features have similar scales and distributions. Common normalization techniques include min-max scaling, z-score standardization, and logarithmic transformations. Normalization prevents features with large values from dominating the learning process and ensures that the model can effectively learn from all features.
2. **Image Resampling:** Remote sensing data may have different spatial resolutions, and it is often necessary to resample the data to a consistent resolution. Resampling can be performed using techniques such as nearest-neighbor, bilinear, or cubic interpolation. Resampling ensures that all input images have the same pixel size and aligns the spatial information across different data sources.
3. **Data Augmentation:** Data augmentation techniques are used to artificially increase the size of the training dataset by applying transformations to the existing samples. Augmentation can include random rotations, translations, flips, and zooms to generate additional variations of the data. Data augmentation helps in improving the model's generalization ability and reduces overfitting by exposing it to a wider range of training examples.
4. **Feature Extraction:** Deep learning models often benefit from input data that is representative of the target variable. In AGB estimation, relevant spectral indices, vegetation metrics, or texture features can be extracted from remote sensing data. These features capture important information related to vegetation structure and composition, which can improve the model's ability to estimate AGB accurately.
5. **Data Fusion:** Integration of multiple data sources, such as optical imagery, LiDAR data, and hyperspectral imagery, can provide a more comprehensive representation of the study area. Data fusion techniques aim to combine the strengths of different data sources to improve the estimation accuracy. Fusion can be performed at the pixel level, feature level, or decision level, depending on the characteristics of the data and the specific AGB estimation task.
6. **Quality Control:** Remote sensing data may contain artifacts, noise, or missing values. Quality control procedures, such as data filtering, outlier detection, and data gap filling, are applied to remove or correct unreliable data. Ensuring the quality and consistency of the input data is essential for obtaining reliable AGB estimates.

These data preprocessing techniques help to prepare the remote sensing data for deep learning models, enabling accurate and robust AGB estimation. The specific techniques employed depend on the characteristics of the data sources, the availability of ground truth data, and the requirements of the AGB estimation task. Proper data preprocessing ensures that

the deep learning model can effectively learn from the data and capture the relevant patterns and relationships.

5. Feature Extraction and Selection:

5.1 Spectral Information

Spectral information is a fundamental component of remote sensing data and plays a crucial role in AGB estimation using deep learning algorithms. Spectral information refers to the measurements of reflected or emitted energy across different wavelengths of the electromagnetic spectrum. It provides valuable insights into the spectral characteristics of vegetation, which are closely related to AGB.

In AGB estimation, spectral information is typically derived from optical sensors, such as those found on satellite platforms like Landsat, Sentinel-2, or MODIS. These sensors capture electromagnetic radiation in the visible, near-infrared, and shortwave infrared regions. Spectral bands corresponding to specific wavelengths are used to quantify the reflectance properties of vegetation.

The spectral information extracted from remote sensing data can be used in various ways to estimate AGB using deep learning algorithms. Here are some key aspects related to spectral information in AGB estimation:

1. **Vegetation Indices:** Vegetation indices are mathematical combinations of spectral bands that capture specific vegetation characteristics. Common vegetation indices used in AGB estimation include the Normalized Difference Vegetation Index (NDVI), Enhanced Vegetation Index (EVI), and Green Chlorophyll Index (GCI). These indices quantify the greenness, vegetation density, and photosynthetic activity of vegetation, which are related to AGB. Deep learning models can be trained using spectral bands or derived vegetation indices as input features to estimate AGB.

2. **Spectral Signatures:** Spectral signatures represent the unique reflectance patterns of different land cover types. In AGB estimation, spectral signatures of vegetation can be used to identify and differentiate vegetation classes with varying AGB levels. Deep learning models can learn to recognize and distinguish these spectral signatures to estimate AGB accurately.

3. **Spectral Libraries:** Spectral libraries contain spectral signatures of different vegetation species or AGB levels. These libraries provide reference spectra that can be used for comparison and matching with the spectral information derived from remote sensing data. Deep learning models can be trained to identify the best match between the observed spectral information and the spectral library to estimate AGB.

4. **Spectral Unmixing:** Spectral unmixing techniques aim to decompose the mixed spectral signals observed in remote sensing data into their constituent endmembers. Endmembers represent pure spectral signatures of different land cover components, including vegetation, soil, and water. Spectral unmixing helps to estimate the fractional abundance of vegetation

within a pixel, which can be related to AGB. Deep learning models can learn to perform spectral unmixing or leverage the fractional abundances as input features for AGB estimation.

By leveraging the spectral information captured by remote sensing sensors, deep learning models can effectively learn the relationships between the spectral characteristics of vegetation and AGB. This enables accurate estimation of AGB at different spatial scales and provides valuable insights into ecosystem dynamics, carbon storage, and environmental monitoring.

5.2 Texture Analysis

Texture analysis is a technique used in remote sensing and image processing to extract information about the spatial patterns and arrangement of pixels within an image. It complements spectral information by providing additional details about the texture and structure of the land cover, which can be useful for AGB estimation using deep learning algorithms. Texture analysis considers the spatial relationships between neighboring pixels and captures information related to the surface roughness, heterogeneity, and patterns within the image.

In the context of AGB estimation, texture analysis techniques can be applied to remote sensing data to extract textural features that capture important information about vegetation structure and biomass distribution. These textural features can then be used as input variables for deep learning models to improve the accuracy of AGB estimation. Here are some commonly used texture analysis techniques:

1. **Gray Level Co-occurrence Matrix (GLCM):** GLCM calculates the frequency of occurrence of pairs of pixel values at specified spatial offsets. It measures the spatial dependencies between pixels and provides information about the texture or pattern within the image. From the GLCM, various statistical measures can be derived, such as contrast, homogeneity, entropy, and correlation, which represent different aspects of the texture. These statistical measures can be used as texture features for AGB estimation.

2. **Local Binary Patterns (LBP):** LBP is a simple yet effective texture descriptor that encodes the local variations in pixel intensity. It compares the intensity of a central pixel with its neighboring pixels and assigns a binary code based on whether the neighboring pixels are greater or lesser than the central pixel. By considering the patterns formed by these binary codes, LBP captures the texture variations within the image. The histogram of LBP patterns can be used as a texture feature for AGB estimation.

3. **Gabor Filters:** Gabor filters are a set of linear filters that are used to extract texture features at different scales and orientations. These filters mimic the response of human visual system cells and capture texture information at various spatial frequencies. By convolving the remote sensing data with Gabor filters, responses at different scales and orientations can be obtained, which can be used as texture features for AGB estimation.

4. Haralick Features: Haralick features are a set of texture descriptors derived from the GLCM. They capture different statistical properties of the GLCM, such as angular second moment, entropy, contrast, and correlation. These features describe the texture heterogeneity, smoothness, and patterns within the image and can be used as input features for deep learning models in AGB estimation.

By incorporating texture analysis techniques, deep learning models can capture important spatial information related to vegetation structure and arrangement, which is valuable for AGB estimation. The combination of spectral and textural features provides a comprehensive representation of the remote sensing data, enhancing the ability of deep learning models to estimate AGB accurately and capture fine-scale variations in biomass distribution.

5.3 Vegetation Indices

Vegetation indices are mathematical formulas that use the spectral information captured by remote sensing sensors to provide insights into the health, density, and vigor of vegetation. These indices are widely used in AGB estimation and vegetation monitoring studies as they capture the unique reflectance properties of vegetation across different wavelengths of the electromagnetic spectrum. Here are some commonly used vegetation indices:

1. Normalized Difference Vegetation Index (NDVI): NDVI is one of the most widely used vegetation indices. It quantifies the difference between the reflectance in the near-infrared (NIR) and red spectral bands. The formula for NDVI is: $NDVI = (NIR - Red) / (NIR + Red)$. NDVI values range from -1 to 1, where higher values indicate healthier and more abundant vegetation. NDVI is sensitive to the presence of chlorophyll and can effectively capture variations in vegetation density and greenness.

2. Enhanced Vegetation Index (EVI): EVI is an improved version of NDVI that corrects for atmospheric effects and provides a more accurate representation of vegetation conditions. It incorporates additional blue and red-edge bands in addition to the NIR and red bands. The formula for EVI is: $EVI = 2.5 * ((NIR - Red) / (NIR + 6 * Red - 7.5 * Blue + 1))$. EVI values range from -1 to 1, and higher values indicate healthier vegetation.

3. Green Chlorophyll Index (GCI): GCI is specifically designed to capture the chlorophyll content in vegetation. It utilizes the green and red spectral bands. The formula for GCI is: $GCI = (Green - Red) / (Green + Red)$. GCI values range from -1 to 1, where higher values indicate higher chlorophyll content and healthier vegetation.

4. Soil Adjusted Vegetation Index (SAVI): SAVI is similar to NDVI but includes a soil background adjustment to account for variations in soil reflectance. It reduces the influence of soil reflectance on the vegetation signal. The formula for SAVI is: $SAVI = ((NIR - Red) / (NIR + Red + L)) * (1 + L)$, where L is a soil adjustment factor. SAVI values range from -1 to 1, and higher values indicate healthier vegetation.

5. Normalized Difference Water Index (NDWI): NDWI is used to detect the presence of water bodies within an image. It utilizes the green and NIR spectral bands. The formula for NDWI is: $NDWI = (Green - NIR) / (Green + NIR)$. NDWI values range from -1 to 1, where higher values indicate the presence of water.

These vegetation indices capture different aspects of vegetation health and density and provide valuable information for AGB estimation using deep learning algorithms. By incorporating these indices as input features, deep learning models can learn the relationships between vegetation spectral characteristics and AGB, enabling accurate estimation of biomass levels across different spatial and temporal scales.

5.4 LiDAR and SAR Data

LiDAR (Light Detection and Ranging) and SAR (Synthetic Aperture Radar) data are two remote sensing technologies that provide valuable information for AGB estimation when combined with deep learning algorithms. They offer unique capabilities to assess vegetation structure, biomass, and spatial distribution, complementing the spectral information obtained from optical sensors. Here's an overview of LiDAR and SAR data and their applications in AGB estimation:

1. LiDAR Data:

Principle: LiDAR uses laser pulses to measure the distance between the sensor and the Earth's surface, creating highly accurate 3D point cloud data.

Vegetation Information: LiDAR data provides detailed information about vegetation structure, including canopy height, vertical profile, canopy density, and foliage distribution. It captures fine-scale details, such as individual tree crowns and forest understory.

AGB Estimation: LiDAR-derived metrics, such as canopy height model (CHM), canopy cover, leaf area index (LAI), and biomass profiles, are used as input features for deep learning models to estimate AGB. LiDAR data helps capture the vertical structure and biomass distribution, particularly in complex forest environments.

Applications: LiDAR is valuable for AGB estimation in forest inventory, carbon sequestration assessment, deforestation monitoring, and ecological modeling.

2. SAR Data:

Principle: SAR sensors emit microwave signals and measure the backscattered energy. SAR operates independently of solar illumination, making it suitable for all-weather and day/night observations.

Vegetation Information: SAR data captures backscatter signals that are influenced by vegetation structure, biomass, and moisture content. It provides information about vegetation density, roughness, and scattering mechanisms.

AGB Estimation: SAR data, combined with ancillary data or ground measurements, can be used to estimate AGB using empirical models or machine learning algorithms. Backscatter coefficients or derived indices from SAR data, such as radar vegetation index (RVI) or biomass indices, can serve as input features for deep learning models.

Applications: SAR data is useful for AGB estimation in areas with frequent cloud cover, dense vegetation cover, or in regions where optical sensors face limitations. It supports

applications like forest biomass mapping, deforestation monitoring, and agricultural crop yield assessment.

By integrating LiDAR and SAR data with deep learning algorithms, AGB estimation can benefit from the complementary information they provide. The vertical structure information from LiDAR and the microwave backscatter properties from SAR enhance the understanding of vegetation biomass distribution, improving the accuracy and spatial resolution of AGB estimation models. This fusion of different data sources helps overcome limitations of individual sensors and provides a more comprehensive assessment of AGB at various scales.

6. Training and Evaluation:

6.1 Training Data Preparation

Preparing training data is a crucial step in AGB estimation using deep learning algorithms. The quality and representativeness of the training data directly impact the accuracy and generalization ability of the model. Here are some key considerations for training data preparation:

1. **Ground Truth Data Collection:** Ground truth data refers to field measurements or reliable reference data that provide AGB values at specific locations within the study area. It serves as the basis for training the deep learning model. Ground truth data can be collected through field surveys, biomass harvesting, or destructive sampling. The locations for ground truth collection should be randomly or systematically distributed across the study area to ensure representativeness.

2. **Data Labeling and Annotation:** The ground truth data needs to be associated with the corresponding remote sensing data, such as satellite images or LiDAR point clouds. This process involves labeling or annotating the training data by spatially matching the ground truth values with the corresponding pixels or areas in the remote sensing data. The labeling can be done manually or using automated algorithms, depending on the availability of resources and the complexity of the task.

3. **Training Data Selection:** From the labeled dataset, a subset is selected as the training data for the deep learning model. It is important to ensure that the training data is representative of the entire study area and captures the variability in AGB levels, vegetation types, and environmental conditions. The selection of training data should consider a balanced representation of different land cover classes and AGB ranges to avoid bias towards specific conditions.

4. **Data Augmentation:** Data augmentation techniques can be applied to increase the size and diversity of the training dataset. Augmentation involves applying various transformations, such as random rotations, translations, flips, or changes in brightness and contrast, to the existing training samples. Data augmentation helps the deep learning model generalize better by exposing it to a wider range of training examples and reducing overfitting.

5. **Data Split:** The training dataset is divided into training and validation subsets. The training subset is used to train the deep learning model, while the validation subset is used to monitor the model's performance during training and make adjustments if necessary. The data split should be done randomly, ensuring that both subsets have a representative distribution of AGB values and land cover classes.

6. **Data Normalization:** The input data, including the remote sensing features and AGB labels, should be normalized to a common scale or distribution. Normalization ensures that all input variables have similar ranges and prevents certain features from dominating the learning process. Common normalization techniques include min-max scaling or z-score standardization.

By carefully preparing the training data, ensuring its quality, diversity, and representativeness, the deep learning model can learn effectively and accurately estimate AGB across the study area. Proper training data preparation sets the foundation for a robust and reliable AGB estimation model.

6.2 Loss Functions

Loss Functions: In deep learning, a loss function quantifies the discrepancy between the predicted outputs of the model and the ground truth labels. It serves as a measure of how well the model is performing during training. For AGB estimation using deep learning algorithms, suitable loss functions include:

Mean Squared Error (MSE): MSE is a commonly used loss function for regression tasks, including AGB estimation. It computes the average squared difference between the predicted AGB values and the ground truth labels. MSE penalizes larger errors more heavily and encourages the model to minimize the overall square difference between predictions and labels.

Mean Absolute Error (MAE): MAE calculates the average absolute difference between the predicted AGB values and the ground truth labels. Unlike MSE, MAE does not square the errors and provides a measure of the average magnitude of errors. MAE is less sensitive to outliers compared to MSE and can be used when absolute errors are more meaningful for the problem.

Huber Loss: Huber loss is a combination of MSE and MAE. It behaves like MSE for small errors and like MAE for large errors. Huber loss is more robust to outliers and can handle situations where the training data contains noise or anomalies.

6.3 Model Evaluation Metrics

Model evaluation metrics are used to assess the performance of a deep learning model for AGB estimation. These metrics provide quantitative measures of how well the model predicts AGB values compared to the ground truth labels. Here are some commonly used evaluation metrics for AGB estimation:

1. Mean Squared Error (MSE): MSE measures the average squared difference between the predicted AGB values and the ground truth labels. It provides a measure of the overall accuracy of the model's predictions, with higher values indicating larger errors. MSE is widely used for regression tasks, including AGB estimation.
2. Mean Absolute Error (MAE): MAE calculates the average absolute difference between the predicted AGB values and the ground truth labels. It provides a measure of the average magnitude of errors made by the model. MAE is less sensitive to outliers compared to MSE and can provide a clearer interpretation of the model's performance.
3. Root Mean Squared Error (RMSE): RMSE is the square root of the MSE and provides a measure of the average magnitude of errors in the same units as the AGB values. RMSE is useful for comparing models and understanding the scale of errors in the predictions.
4. R-squared (R²) or Coefficient of Determination: R² measures the proportion of the variance in the AGB values that is explained by the model. It ranges from 0 to 1, with a higher value indicating a better fit of the model to the data. R² provides an indication of how well the model captures the variability in AGB and can be used for model comparison.
5. Relative Root Mean Squared Error (RRMSE): RRMSE is the RMSE normalized by the range of the ground truth AGB values. It provides a relative measure of the error, allowing for comparison across different datasets with varying AGB ranges. RRMSE is useful for assessing the model's performance across different spatial or temporal scales.
6. Bias: Bias quantifies the systematic deviation of the model's predictions from the ground truth AGB values. It measures whether the model consistently underestimates or overestimates the AGB values. A bias close to zero indicates minimal systematic errors.
7. Scatterplot and Correlation: Visual inspection of a scatterplot between the predicted AGB values and the ground truth labels can provide insights into the model's performance. Additionally, calculating the correlation coefficient, such as Pearson's correlation coefficient, between the predicted and true AGB values can indicate the strength of the linear relationship between the variables.

It is important to consider multiple evaluation metrics to gain a comprehensive understanding of the model's performance. Some metrics focus on the overall accuracy of the predictions (MSE, MAE, RMSE), while others assess the variability captured by the model (R²). Visualizing the results and inspecting the scatterplot can provide additional insights into the model's strengths and weaknesses.

7. Challenges and Limitations:

7.1 Limited Training Data

Limited training data is a common challenge in many machine learning tasks, including AGB estimation using deep learning algorithms. When the available training data is insufficient, it can lead to overfitting, where the model fails to generalize well to unseen data

7.2 Data Heterogeneity

Data heterogeneity refers to the presence of variations, inconsistencies, or differences within the training data used for AGB estimation. Heterogeneous data can arise from various sources, such as differences in data sources, sensor characteristics, acquisition dates, spatial resolutions, and environmental conditions. Dealing with data heterogeneity is important to ensure accurate and reliable AGB estimation using deep learning algorithms.

7.3 Model Overfitting and Generalization

Overfitting occurs when a machine learning model learns the training data too well, capturing the noise and random variations in the training set instead of the underlying patterns. It happens when the model becomes overly complex and has too many parameters relative to the available training data. As a result, the model performs well on the training data but fails to generalize to new, unseen data.

7.4 Interpretability and Explainability

Interpretability: Interpretability refers to the ability to understand and explain how a model arrives at its predictions or decisions. It involves gaining insights into the internal workings of the model, understanding the relationships between input features and the predicted AGB values, and identifying the key factors influencing the model's output. Interpretability helps in building trust in the model's predictions, understanding the underlying processes, and identifying any biases or limitations.

Explainability: Explainability goes a step beyond interpretability by not only understanding the model's internal workings but also providing meaningful explanations for its predictions. It involves presenting the rationale, factors, or evidence that contribute to the model's decision-making process in a way that is understandable to humans. Explainability is especially important when the model's predictions have significant implications or when there are legal, ethical, or regulatory requirements for transparency.

8. Applications of AGB Estimation using Deep Learning:

8.1 Forest Monitoring and Management

Forest monitoring and management involve the systematic assessment, tracking, and sustainable utilization of forest resources. It aims to maintain the health, productivity, and biodiversity of forest ecosystems while meeting the socioeconomic needs of society.

8.2 Carbon Stock Assessment

Carbon stock assessment is a crucial component of forest monitoring and management. It involves quantifying the amount of carbon stored in forest ecosystems, including above-ground biomass (AGB), below-ground biomass (BGB), and soil organic carbon (SOC).

8.3 Climate Change Studies

Climate change studies focus on understanding the causes, impacts, and mitigation of changes in Earth's climate patterns. They encompass a wide range of scientific research,

observations, and modeling efforts to examine the complex interactions between the atmosphere, oceans, land surface, and biosphere

9. Comparative Analysis of Deep Learning Algorithms:

9.1 Performance Comparison

Define appropriate metrics to assess the performance of the models or strategies being compared. For climate models, common metrics include accuracy in simulating historical climate patterns, ability to reproduce observed trends, and skill in predicting future climate scenarios. For mitigation strategies, metrics may include reductions in greenhouse gas emissions, cost-effectiveness, and long-term sustainability.

9.2 Computational Efficiency

Computational efficiency is a critical aspect of any computational system, including those used in climate change studies. It refers to the ability of a system or algorithm to deliver accurate results within a reasonable amount of time and computational resources

9.3 Robustness to Data Variability

Robustness to data variability refers to the ability of a model or algorithm to produce consistent and reliable results despite variations or uncertainties in the input data. In the context of climate change studies, where data can be heterogeneous, noisy, or subject to measurement errors, it is crucial to ensure that models and algorithms are robust to these variations

9.4 Generalization Ability

Generalization ability refers to the capability of a model or algorithm to perform well on unseen or new data that it has not been trained on. In the context of climate change studies, generalization ability is crucial for accurate predictions and reliable assessments.

10. Future Directions:

10.1 Hybrid Approaches

Hybrid approaches in the context of climate change studies refer to the integration of multiple methods or techniques to address the complexities and challenges associated with climate change modeling, prediction, or mitigation. These approaches combine the strengths of different approaches to enhance accuracy, robustness, or efficiency

Data Fusion: Data fusion combines multiple sources of data, such as remote sensing imagery, climate models, and ground-based measurements, to improve the accuracy and resolution of climate change assessments. By integrating complementary data sources, data fusion techniques can overcome limitations and uncertainties in individual datasets, providing a more comprehensive understanding of climate variables and their spatiotemporal patterns.

Ensemble Modeling: Ensemble modeling combines the predictions or results from multiple climate models or algorithms to obtain a consensus or weighted average prediction. Ensemble approaches reduce the reliance on a single model and take advantage of the diversity of models to capture a broader range of uncertainties and variability. Ensemble techniques include model averaging, Bayesian model averaging, and model weighting based on performance.

Hybrid Machine Learning Models: Hybrid machine learning models combine different machine learning algorithms or architectures to leverage their individual strengths. For example, a hybrid model can incorporate both deep learning and traditional statistical models to capture complex nonlinear relationships while maintaining interpretability and robustness. Hybrid models can improve the accuracy and generalization ability of climate change predictions.

10.2 Transfer Learning and Domain Adaptation

Transfer Learning: Transfer learning aims to transfer knowledge or representations learned from a source domain (where labeled data is abundant) to a target domain (where labeled data is limited). Instead of training a model from scratch on the target domain, transfer learning allows the model to leverage the knowledge gained from the source domain. This is particularly useful when the source and target domains share some underlying patterns or relationships

Domain Adaptation: Domain adaptation focuses on adapting a model or algorithm from a source domain to a target domain, where the distributions of data may differ. In climate change studies, this can occur when data is collected from different regions, time periods, or using different measurement techniques. The goal of domain adaptation is to mitigate the differences between the source and target domains to improve the model's performance on the target domain.

11. Conclusion:

Future research can focus on developing more advanced deep learning architectures specifically tailored for AGB estimation. This could involve exploring novel network architectures, such as attention mechanisms, graph neural networks, or transformer-based models, that can better capture the complex spatial and spectral relationships associated with AGB. Deep learning models can benefit from the integration of multi-source data, including remote sensing imagery, LiDAR, SAR, and climate data. Future research can investigate effective methodologies for fusing and leveraging diverse data sources to improve AGB estimation accuracy and robustness.

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